

4.4	Faculty Consulting and Research Policy	16-115	2016-10-20
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## 4.4 Faculty Consulting & Research w/Public or Private Entities

Board of Regents for Higher Education  
Connecticut State Colleges and Universities

### **Policy Regarding Faculty Consulting and Research with Public or Private Entities**

Faculty professional activities such as consulting or engaging in a research project for a public or private entity often are useful in maintaining and enhancing the faculty member's academic scholarship and competence. However, the primary responsibility of full-time faculty during the academic year is to the Board of Regents for Higher Education of the Connecticut State Colleges and Universities and their respective institution. The value of these outside activities is recognized through Collective Bargaining Agreements and statute and regulation.

For the purposes of this policy, consulting shall be defined as the provision of services for compensation to a public or private entity by a member of the faculty or member of the faculty bargaining unit: (i) when the request to provide such service is based on such member's expertise in a field or prominence in such field, and (ii) while such member is not acting in the capacity of a state employee.

For the purposes of this policy, research shall be defined as a systematic investigation, including, but not limited to, research development, testing and evaluation, designed to develop or contribute to general knowledge in the applicable field of study.

Faculty is expected to comply with the applicable provisions of the aforementioned Collective Bargaining Agreement, state statute and regulation. As such, no faculty member may engage in consulting agreement or research project that (A) inappropriately uses the institution's proprietary information in connection with such agreement or project; (B) interferes with the proper discharge of his or her employment with the university; and/or (C) inappropriately uses such member's association with the institution in connection with such agreement or project.

Each institution shall establish internal operating procedures that shall ensure, (i) the disclosure, review, and management of conflicts of interest relating to any such agreement or project; (ii) the approval of the chief academic officer and dean of the institution prior to any such member entering into any such agreement or engaging in any such project; and (iii) the referral of any failure to comply with the provisions of this policy or institution procedure to the applicable disciplinary process outlined in the Collective Bargaining Agreement. Each institution shall provide a report to the Office of the BOR President of any such approved activities on or before May 1 and November 1 of each year. In addition, the Director of Internal Audit for the Connecticut State Colleges and Universities shall audit institution compliance with the established internal procedures and this policy annually.

**RESOLUTION**

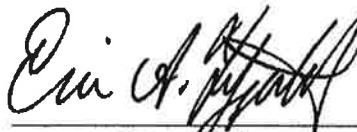
concerning

**Policy Regarding  
Faculty Consulting and Research with Public or Private  
Entities**

October 20, 2016

- WHEREAS, The Board of Regents in accord with of the Connecticut State Colleges and Universities recognize that the faculty professional activities such as consulting or engaging in a research project for a public or private entity is often useful in order to maintain and enhance the faculty member's academic scholarship and competence; and
- WHEREAS, The primary responsibility of full-time faculty during the academic year is to their respective college or university; and
- WHEREAS, Section 1-84(r)(2) of the General Statutes requires the board to adopt a policy regarding faculty consulting agreements and research projects with public and private entities, requires that procedures be promulgated at the institutions to manage and account for such agreements and projects, and provide information semiannually;
- WHEREAS, From time to time policies require revision to reflect Board policy changes or other needed changes or clarifications; therefore, be it
- RESOLVED, That the Board of Regents adopts the amended "Policy Regarding Faculty Consulting and Research with Public or Private Entities" (Attachment A) effective immediately.

A True Copy:



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Erin A. Fitzgerald, Secretary  
CT Board of Regents for Higher Education